



DLA PIPER RUDNICK GRAY CARY U.S. LLP

1200 NINETEENTH STREET, NW
WASHINGTON, DC 20036-2412
TELEPHONE: 202-861-3900
FACSIMILE: 202-223-2085

Handwritten signature/initials

DOCKET NO.: 5988-054-27

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/624,678
Applicant(s): Hikaru SUGITA, et al.
Filing Date: July 23, 2003
For: ACENAPHTHYLENE DERIVATIVE, POLYMER, AND
ANTIREFLECTION FILM-FORMING COMPOSITION
Group Art Unit: 1713
Examiner: Rip A. Lee

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal
Information Disclosure Statement
Form PTO-1449
Cited Documents (1)
Request for Reconsideration

Our check in the amount of \$180.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

Steven B. Kelber
Attorney of Record
Registration No.: 30,073

Christopher W. Raimund
Registration No.: 47,258



1. ☐ Applicant claims small entity status.

☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

3. ADDITIONAL FEES

2. ■ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

1053	130	1053	130	Non-English Specification
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2. EXTRA CLAIM FEES

8001	3	8001	3	Advance Copy of Patent	
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<input type="checkbox"/>	Multiple Dependent Claims	\$360	=
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1801	790	2801	395	For Filing RCE	
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3. APPLICATION SIZE FEES

Other:		
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# of each additional 50 sheets or fraction thereof (round up)	Fee	Total Fee Due	SUBTOTAL	180
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Name	Steven B. Kelber	Registration No.	30,073
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Name	Christopher W. Raimund	Registration No	47 258
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Hikaru SUGITA, et al.

GROUP ART UNIT: 1713

SERIAL NUMBER: 10/624,678

EXAMINER: Rip A. Lee

FILED: July 23, 2003



FOR: ACENAPHTHYLENE DERIVATIVE, POLYMER, AND ANTIREFLECTION FILM-FORMING COMPOSITION
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☒ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☐ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☒ with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

05/05/2005 HAL111 00000030 10624678

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180.00 DP

1200 Nineteenth Street, N.W.
Washington, DC 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

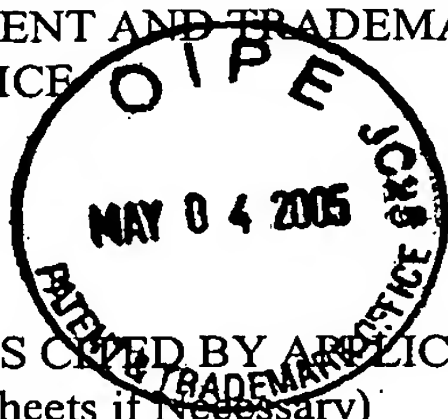
Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

Steven B. Kelber
Attorney of Record
Registration No. 30,073
Christopher W. Raimund
Registration No. 47,258

Form PTO 1449

(Modified)

U.S. DEPARTMENT OF
COMMERCE
PATENT AND TRADEMARK
OFFICELIST OF REFERENCES CITED BY APPLICANT
(Use Several Sheets if Necessary)

DOCKET NO.

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SERIAL NO.

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APPLICANT

Hikaru SUGITA, et al.

FILING DATE

July 23, 2003

GROUP ART UNIT

1713

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AK					
	AL					
	AM					
	AN					
	AO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	McMurry, "Organic Chemistry", Brooks/Cole Publishing Company, Monterey, California, page 690, (1984).
	AQ	
	AR	
	AS	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.